

# Database Ingest, Preservation & Visualization

## Using SIARD as method at IKAMR, a Norwegian Municipal Archive

Torbjørn Aasen, IKAMR IT archivist <u>torbjorn.aasen@ikamr.no</u> Databases for 2080, Landesarchiv Baden-Württemberg, 06.10.2021

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### IKAMR – a Norwegian Municipal Archive for the County of Møre og Romsdal

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Map: https://en.wikipedia.org/wiki/Counties\_of\_Norway

https.//www.ikamr.no



IKAMR – a Norwegian Municipal Archive for the County of Møre og Romsdal

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#### IKAMR – a Municipal Archive for the County of Møre og Romsdal

 Located in Ålesund, a Jugendstil city at the west coast of Norway
 Have 28 employees, including 2 IT archivists
 Owned by 27 municipalities and the county municipality of Møre og Romsdal

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### **KDRS – Municipal Archive institutions Digital Resource Centre**

- 1. Covers 81% of the municipalities and county municipalities
- 2. Have 18 Municipal Archives as members



- 3. Offers an OAIS based Digital Repository to its members (ESSArch)
- 4. Community for IT archivists forming the SIARD production line
- 5. Cooperation with The National Archives of Norway

https://www.kdrs.no

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#### **Preservation methods**

- 1. Table Extractions (2005-2011)
- 2. Noark 5 Extractions (2015-2021)
- 3. SIARD Extractions (2014-2021)

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#### **Table Extractions**

- 1. Each Database Table into its own table.xml
- 2. Metadata DB structure and info in ADDML.xml
- 3. From 2005 to 2011 preserved 50 Database Systems
- 4. Starting first system preserving 3 tables (tool Pervasive)
- 5. Then 5 more tables, still directly from database
- 6. Finally ingest full database in archival work area

addmml version="7.3"> <reference> <archives ar id="molde.kommune.no"> <ar name>Molde kommune</ar name> </archives> <system sy id="MOL2006-001"> <sy name>Sak/-arkivsystem og elektroniske postjournaler</sy\_name> <startdate>19990501</startdate> <enddate>20050501</enddate> </system> </reference> <structure> <dataset ds id="molde.kommune.no/MOL2006-001"> <ds descr>Datasettet inneholder registeropplysninger fra Noark-3 systemet Forum Winsak. Registeret omfatter saks- og dokumentjournal, med tilhørende opplysninger (avdeling, ansatt, delarkiv, utvalgssaker, saksparter m.m.) </ds descr> <charset>ISO-8859-1</charset> <format>XML</format> <recsep>NO</recsep> <nu files>24</nu files> <file name="SAKSDOKUMENT" path="saksdokument.xml"> <fi descr>SAKSDOKUMENT - informasjon om saksdokumenter</fi descr> <nu rectypes>1</nu rectypes> <rectype name="DOKUMENT"> <primkey>LOEPENR</primkey> <forkey> <fields>SAKSNR</fields> <reffilerec>SAKSSKJEMA:SAK</reffilerec> <reffields>SAKSNR</reffields> <reltype>n:1</reltype> </forkey> <nu fields>65</nu fields> <fieldtype name="LOEPENR"> <ft descr>Løpenummer</ft descr> <datatype>INTEGER</datatype> <nonull/> <unique/>

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#### **Noark 5 Extractions**

arkivdel <systemID>arkivdel:SAK1</systemID> <tittel>Saksarkiv basert på K-koder</tittel> <arkivdelstatus>Avsluttet periode</arkivdelstatus> <dokumentmedium>Elektronisk arkiv</dokumentmedium> <opprettetDato>0001-01-01T00:00:00</opprettetDato> <opprettetAv>NULL</opprettetAv> <avsluttetDato>2017-05-10T14:25:25.613</avsluttetDato> <avsluttetAv>Per Person</avsluttetAv> <arkivperiodeStartDato>2008-01-01</arkivperiodeStartDato> <arkivperiodeSluttDato>2011-12-31</arkivperiodeSluttDato> <referanseArvtaker>arkivdel:SAK2</referanseArvtaker> <klassifikasjonssystem> <systemID>arkivdel:SAK1:klassifikasjonssystem:EMNE</systemID> <klassifikasjonstype>EH</klassifikasjonstype> <tittel>K-Kode fagklasse/fellesklasse bokmål</tittel> <opprettetDato>0001-01-01T00:00:00</opprettetDato> <opprettetAv>NULL</opprettetAv> <klasse> <systemID>arkivdel:SAK1:klassifikasjonssystem:EMNE:klasse:0</systemID> <klasseID>0</klasseID> <tittel>Organisasjon og administrasjon</tittel> <opprettetDato>0001-01-01T00:00:00</opprettetDato> <opprettetAv>NULL</opprettetAv> <klasse> <systemID>arkivdel:SAK1:klassifikasjonssystem:EMNE:klasse:00</systemID <klasseID>00</klasseID> <tittel>Organisasjon og administrasjon - Felles</tittel> <opprettetDato>2003-01-11T00:00:00</opprettetDato> <opprettetAv>NULL</opprettetAv>

- 1. Noark 5 is the current "Norwegian Archive Standard" for recordkeeping systems used in public administration
- 2. Noark 5 extractions are well-ordered and should comply to the standard. The Archive will validate accordingly.
- 3. Approved or Rejected, the Archive will not edit the content
- 4. From 2015-2021 ingested 50 Noark 5 systems



#### **SIARD Extractions**



</columns> 3. From 2014 to 2021 ingested 100 SIARD extractions

- 4. A few using SIARD Suite v1.nn and v2.1.nnn
- 5. A few using DBPTK 2.0 and its newest SIARD 2.1 platforms
- 6. Most of them using Spectral Core Full Convert, SIARD 2.1

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## Production Line – Electronic Archives Ingest – Description/Storage – Access





#### **From SIARD Extraction to SIP delivery to the Archive**

- 1. A free tool <u>Arkade 5</u> from The Norwegian National Archives puts the SIARD into a Norwegian DIAS SIP (.tar file) and metadata in a corresponding info.xml file
- 2. The coming Arkade 5 v2.5.0 can validate SIARD 2.1 using DBPTK Developer v2.9.9, and make a PRONOM filelist
- 3. Norway is not using the EARK SIP/AIP/DIP package formats



#### Arkade 5 Testing av uttrekk Filsti: Y:\uttrekk-dbptk-desktop\version\v2.5.9\t01bclob12\_dbptkdesktop-2.5.9\_int UUID: bd3e5dde-b2be-4b30-a686-586bcb6f5ed2 Arkivtype: Siard Framdrift: Utført Vis rapport Opprett pakke Ny kjøring Meldinger: Testingen er fullført V Ok Lager rapport / Ok **Rapport opprettet** WARN Could not create report file in current working directory. Attempting to use a temporary file 🔥 Feil Siard-validering NB! t01bclob12\_dbptk-desktop-2.5.9\_int.siard blir validert med Database Preservation Toolkit Developer versjon 2.9.9 Validerer uttrekket... Ok Utført

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### From SIARD Extraction to SIP delivery to the Archive

🗧 Arkade 5



#### **SIARD ingest and refining in our Archive**

Decom	1.7.0					
	Jobs Packages > Choose t	emplate >	Edit descriptions			
3	1504_003 Familia (2006-2019) B04b_a30-01      3e564bd9-2e67-4e6e-9ff4-761a42245bbe        더 Project: 94% described 때 278166 files					
DODS	PACKAGE TABLES		Database name 1504_003_Familia.siard	Match 93.94% ~		
	Filter: Documents (17 package table	±5) ~	Preview			
	P FA_DOKUMENTER (423	563)	Package table FA_DOKUMENTER	Similarity 100.00%		
	P FA AVVIKSLOGG (3100)	43)	DOK_LOEPENR {DECIMAL}			
	P FA JOURNAL (151018)	~ I	DOK_TYPE (CHAR)			
		- I	DOK_DOKUMENT {BLOB}			
	· · · · · · · · · · · · · · · · · · ·		DOK_PRODUSERT {DECIMAL}			

- The SIARD etraction is imported into our tool <u>Decom</u>, developed by the vendor <u>Documaster</u> for the KDRS production line.
- 2. A KDRS Decom Server holds over 60 system description templates that is used to handle the unordered extraction.
- 3. LOBs in production format is transformed from production to arcival document formats (f. ex. doc/rtf to PDF/A)



#### **Access to preserved SIARD extractions**

- Systems for visualization of preserved SIARD extractions is under evaluation (<u>DBPTK Desktop/Enterprise</u>, <u>Asta 7</u> from <u>Stiftelsen Asta</u>)
- 2. Currently just a few requests for Access, but expecting increase
- 3. Archive handling Access today using a Database & tools like <u>DBeaver</u>, recently testing DBPTK Desktop (and DBPTK Enterprise)



#### **Experienced challenges preserving Municipal public archives**

- 1. Time is on my side, no it isn't.
- 2. Finding, prioritizing, extracting and deliver to the archive
- 3. The users/employees have left or not recall +3 years back
- 4. Describing the system, user and technical documentation
- 5. Front end logic lost or hard to do reverse engineering
- 6. Access fails over time, even administrator password missing

Picture: Torbjørn Aasen, IKAMR

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#### **Experienced challenges using SIARD as method**

- 1. Some old Oracle system slices files into 32 kB bits
  - Solution: Ad-hoc custom made C# code to DB copy with full files
- 2. Some systems have encrypted documents

Solution: Ad-hoc custom made C# code to decrypt documents

3. Som old systems store binary files into a CLOB text column

Solution: In a copy of DB, transform MSSQL ntext to varbinary

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#### Feedback on tool **SIARD Suite**



1. Good progress on GUI and console version of 2.1 extractions

- 2. Good quality in SIARD 2.1 extractions
- 3. Produced SIARD 1.0 packages proven stable long time preservation
- 4. Sometimes problem connect to datbases (MSSQL and Oracle)
- 5. Latest version SiardGui v2.1.134 dated 25.09.2020



- 1. Very good progress on GUI in <u>DBPTK Desktop</u> and <u>Enterprise</u>, while keeping console based option in <u>DBPTK Developer</u>
- 2. Good quality in SIARD 2.1 extractions (reported <u>GitHub issue</u> LOBs)
- 3. Sometimes problem connect to datbases (MSSQL)
- 4. Latest versions DBPTK Developer 2.9.9

(which Desktop 2.5.9 and Enterprise is using)



- 1. Excellent solution including a SIARD validator
- 2. SIARD validator need be tuned for Interoperability, approving full SIARD 2.1/2.2 spec (approving tools SIARD Suite and Full Convert)
- 3. A new SIARD extraction has none or empty Description elements: The validator now reports every of those as error?
- 4. <u>https://github.com/keeps/dbptk-developer/wiki/Validation</u>



- 1. Excellent solution including a SIARD viewer (visualization)
- Wish for an easier way to implement preferred views,
  to easily and automatically bring up a known system in the viewer (view templates separated from the system itself for reuse?)
- 3. Wish for easy resuse and display of element Descriptions
- 4. Our community looks at ways to do 2) & 3) above



				-
b DBPTK Desktop	ices Help			
A Home ) = Databases ) =		SIARD edit metadata	ىت ب	
Filter sidebar		Database I	nformation	
Database				
St Users & Roles		Global information at data	base level	
t01bclob12		Name *	t01bclob12ext	
IE Tables	~	Archival date *	2021-08-14	
▼ Views	~	Archivist	Torbjørn Aasen	
Routines	~	Archivist contact	torbjorn.aasen@ikamr.no	
		Client machine	IKAMR-B46	
		Product	MySQL 8.0.18	
		User		
		Data origin time span *	2008 - 2011	
		Data owner *	1599 Test kommune	
		Description	TEST t01bclob12, created for test LOB fields (both BLOB binary and CLOB character). BLOB: pdf/a, pdf, doc, docx, odt, jpg, tif, xls, xlsx, ods, txt, xml, rtf. CLOB: txt, xml, rtf. (External LOBs)	Fortid
		Producer application	DBPTK Developer (version 2.9.9)	0 potid
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#### Feedback on tool Spectral Core Full Convert (SCFC) - 1

- 1. Very easy to use Windows high quality database migration tool
- 2. Good quality in SIARD 2.1 extractions
- 3. Very high successrate in connect and extract to SIARD 2.1
- 4. Easy create full transaction, warning and error logfiles
- 5. Latest version Full Convert v21.08.1657



#### Feedback on tool Spectral Core Full Convert (SCFC) - 2





#### Feedback on tool Spectral Core Full Convert (SCFC) - 3



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#### **Experienced SIARD Interoperability Issues - 1**

- 1. DBPTK doubles 2 x lobFolder elements
  - + full table[n].xml file= attribute with full filepath
- 2. DBPTK will not accept empty schema name element
  - (this is valid towards metadata.xsd schema and not disallowed)

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#### **Experienced SIARD Interoperability Issues - 2**

- 1. Full Convert empty schema element at default schema SQL server
- 2. Full convert uses an extra subfolder for each 1000 files when storing Internal LOBs (stored inside the SIARD package)
  - Ex.: \content\schema0\lob9\seg0\rec2.bin
  - This is not strictly disallowed in the spec,
  - but a mater of interpretation => Interoperability



#### **Experienced SIARD Interoperability Issues - 3**

- 1. <u>https://github.com/DILCISBoard/SIARD/issues</u>
- 2. <u>https://github.com/DILCISBoard/SIARD/issues/43</u> Interoperability
- 3. <u>https://github.com/keeps/dbptk-developer/issues</u>
- 4. <u>https://github.com/keeps/dbptk-developer/issues/476</u> LOB double

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#### **SIARD Interoperability Work in Progress**

1. <u>SIARD-Interoperability\_2021-08-14 – Google Disk</u>

Case study the tools DBPTK, SIARD Suite/Gui, Full Convert

2. <u>KDRS\_open\_SIARD – Google Disk</u>

Main folder for SIARD analysis (need update SIARD 2.1 and 2.2)

3. <u>KDRS\_open\_database – Google Disk</u>

Main folder for KDRS testdatabases and open work



#### **SIARD Production Line Work in Progress - 1**

- 1. <u>KDRS Metadata</u> Analyze SIARD metadata.xml and Decom to Excel
- 2. <u>KDRS Query</u> Predefined automated Queries (XML, SQL, JSON)
- 3. <u>KDRS SIARD Extdoc</u> Create SIARD extraction from file folders
- Make note that all tools above are in betarelease, as first release
  v1.0 need be fully tested. Still useful for internal usage.

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#### **SIARD Production Line Work in Progress - 2**

- 1. Add automation and pipelines for all steps and elements in the Production Line
- 2. Automated logging
- 3. Full integration of Ingest, Preservation and Access

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#### **Questions?**

Feel free to contact me on any subject for the IT archivist.

- 1. SIARD standard, tools, extraction, validation, preservation
- 2. IKAMR/KDRS production line of preservation and visualization
- 3. Community activities on events or netmeeting 1 on 1

Our common goal is full SIARD Interoperability among all the Tools in our line

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